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इंदिरा गाँधी राष्ट्रीय जनजातीय विश्वविद्यालय Indira Gandhi National Tribal University

Inspiring Students, Empowering Society

(संसद के अधिनियम द्वारा स्थापित केन्द्रीय विश्वविद्यालय)
(A Central University Established by an Act of Parliament)

P. SILUVAINATHAN

Registrar

Ref. No. / IGNTU/Ro/257

Date: 28-03-2023

NOTIFICATION

The Competent Authority has approved the IGNTU Ph.D. Regulations, 2022 (in accordance with the UGC [Minimum Standards & Procedure for Award of M.Phil./Ph.D. Degree] Regulations 2022) on the recommendation of the Research Degree Committee of the University (RDCU). These Regulations are notified herewith and have come into force w.e.f. 02.03.2023 i.e. from the date at which the Competent Authority approved the draft Regulations. A copy of the Regulations is enclosed herewith.

Further, the Clause 7.1.(iii) of IGNTU Ph.D. Regulation 2022 may be read as per UGC Public Notice No. F.9-1/2020(PS/Misc.) dated 6th March, 2023.

P.S.
28/3/2023
Registrar

Copy to:

1. PS to VC for kind information of the Hon'ble Vice-Chancellor
2. Director, Regional Campus Manipur, IGNTU
3. Dean, Academic & Research, IGNTU
4. Deans of the Faculties
5. Head of the Departments
6. Controller of Examinations
7. System Analyst for uploading of Notification & Ph.D. Regulations 2022 on the University's Website
8. Rajbhasha Cell

**INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY,
AMARKANTAK (MP)**



IGNTU Ph.D. Regulations, 2022

(In accordance with the UGC [Minimum Standards & Procedure for Award of
M.Phil./Ph.D. Degree] Regulations 2022)

MP

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1. Short title, Application and Commencements.

- 1.1. The degree of 'Doctor of Philosophy' shall be abbreviated as 'Ph.D.'
- 1.2. These regulations shall be called the "Indira Gandhi National Tribal University, Amarkantak (henceforth IGNTU, Amarkantak, the University) (Minimum Standard & Procedure for Award of Ph.D. Degree) Regulations 2022.
- 1.3. These shall be applicable to all the disciplines/subjects offered by the IGNTU, Amarkantak and its Regional Campuses (henceforth RCs).
- 1.4. These shall come into force from the date of notification issued by the IGNTU, Amarkantak. All previous Ph.D. Regulations issued by the University will become null and void with the date of issuance of these regulations, unless otherwise notified.
- 1.5. Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations (i.e. UGC/IGNTU Ph.D. regulation 2022) shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 and IGNTU, Amarkantak Ph.D. Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations (i.e. UGC/IGNTU Ph.D. regulation 2022) or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016 and IGNTU, Amarkantak Ph.D. Regulations, 2016. **(Clause 16: UGC Ph.D. Regulations 2022)**
- 1.6. Notwithstanding any provisions contained in these regulations, the University reserves its power to change/amend these regulations, if needed, at any time.

2. Definitions.

2.1 In these Regulations, unless the context otherwise requires,-

- a) "Act" means the University Grants Commission Act, 1956 (3 of 1956);
- b) "Adjunct Faculty" means a part-time or contingent instructor, but not full-time faculty member hired to teach by a Higher Educational Institution;
- c) "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
- d) "Credit" means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
- e) "College" means an institution engaged in higher education and/or research, either established by a University as its constituent unit or is affiliated with it;
- f) "Commission" means the University Grants Commission established under Section 4 of the UGC Act 1956;
- g) "Course" means one of the specified units which go to comprise a programme of study;
- h) "Course Work" means courses of study prescribed by the School/Department/Centre to be undertaken by a student registered for the Ph.D. Degree;

- i) "Degree" means a degree awarded by a Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
- j) "External examiner" means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D. scholar has registered for the Ph.D. programme;
- k) "Foreign Educational Institution" means—(i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
- l) "Grade Point" means a numerical weight allotted to each letter grade on a 10-point scale;
- m) "Guide/Research Supervisor" means an academician/researcher recognized by Higher Educational Institution to supervise the Ph.D. scholar for his/her research;
- n) "Higher Educational Institution" means a university or institution specified under clause 2 of Regulation 1 of these Regulations;
- o) "Interdisciplinary Research" means research conducted by a Ph.D. scholar in two or more academic disciplines;
- p) "Open and Distance Learning Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- q) "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- r) "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own;
- s) "Programme" means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
- t) "Prospectus" means any document, whether in print or otherwise, issued for providing fair and transparent information relating to a Higher Educational Institution and programmes, to the general public (including to those seeking admission in such Higher Educational Institutions) by the Higher Educational Institutions;
- u) "Research Proposal" means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
- v) "University" means a Higher Educational Institution established or incorporated by or under a Central Act, a Provincial Act, or a State Act, and shall include any institution for higher education deemed to be a University under Section 3 of the Act.

2.2 Words and expressions used and not defined in these Regulations but defined in Act and not consistent with these Regulations shall have the meanings assigned to them in that Act.

(Clause 2: UGC Ph.D. Regulations 2022)

3. Advertisement, number of seats and commencement of admission

3.1. The University shall make a detailed advertisement, including essential qualification, number of seats available for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, list of examination centers, number and specialization of available research supervisors, reservation of seats and last date for submission of the application form etc., for the benefit of the candidates, in at least two national newspapers, of



which, at least one shall be in the regional language, as well as on the University website.

- 3.2. Based on the recommendations of concerned Departmental Research Committees (henceforth DRCs), the Academic Council (henceforth AC) of the University shall recommend the number of seats to be admitted and advertised in/for various departments of the University and RCs, annually.
- 3.3. Applicants have to make online application in the prescribed format available on the University website (www.igntu.ac.in).
- 3.4. Reservation of the seats for Schedule Castes (SC), Schedule Tribes (ST). Other Backward castes (OBC)-Non-creamy layer, Differently-abled/Divyang, Economically Weaker Section (EWS), and other categories of candidates shall be as per the Government of India Rules issued from time to time.
- 3.5. The number of seats reserved for SC/ST/OBC/EWS categories may be reduced proportionately if number of qualified candidates is less. The vacant seats reserved for SC/ST/OBC/EWS candidates, if any, shall be filled as per Government of India rules.
- 3.6. Each SC/ST/OBC/EWS candidate shall have to submit a copy of the Caste Certificate issued from the notified person/authority, mentioning that the candidate belongs to SC/ST/OBC (no-creamy layer) community/EWS, as per the Government of India format and rules clearly stating: (a) the name of candidate and his/her caste/tribe (b) which reserve category s/he belongs (c) District and the State or Union Territory of his/her ordinary residence and (d) the appropriate Government of India Schedule under which his/her caste/tribe is approved. The OBC certificate should clearly mention that the candidate does not belong to creamy layer category.

4. Eligibility criteria for admission to the Ph.D. programme

- 4.1. The following are eligible to seek admission to the Ph.D. programme:
- 4.2. Candidates who have completed:
 - 4.2.(i). A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
 - 4.2.(ii). A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.



- 4.2.(iii). Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.
- 4.2.(iv). Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.

(Clause 3: UGC Ph.D. Regulations 2022).

5. Procedure for admission

- 5.1. The admission to the Ph.D. programme shall be based on the criteria notified by the IGNTU, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.
- 5.2. Admission to the Ph.D. programme shall be made using the following methods:
- 5.2.(i). Students who have qualified for fellowship/scholarship in UGC-NET/UGC-CSIR NET/GATE/CEED/GPAT and similar National level tests based on an interview.
- And/or
- 5.2.(ii). Through an Entrance Test conducted by the IGNTU. The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subject-specific.
- 5.2.(iii). Students who have secured 50% marks in the entrance test are eligible to be called for the interview.
- 5.2.(iv). A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled, EWS, and other categories of candidates as per the decision of the Commission (i.e., UGC or its successor)/Government from time to time.
- 5.2.(v). IGNTU shall decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
- 5.2.(vi). Provided that for the selection of candidates based on the entrance test conducted by the IGNTU, a weightage of 70% for the entrance test and 30% for the performance in the interview/viva- voce shall be given.

The University shall:

- 5.3.(i). Notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates (also refer to clause 3.1);
- 5.3.(ii). Adhere to the National/State-level reservation policy, as applicable (also refer to clause 3.4 & 3.5).
- 5.3.(iii). IGNTU shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

(Clause 5: UGC Ph.D. Regulations 2022)

6. Classification of the candidates

6.1. A candidate admitted to the Ph.D. programme in a department/school/center of the University shall be classified under any one of the following categories:

6.1.(i). Full-time Research Scholar

A candidate who has been admitted to the Ph.D. programme based on the eligibility criteria mentioned in Clauses 4.2.1. - 4.2.4 shall be referred to as a full-time research scholar.

6.1.(ii) Internal Full-time Research Scholar

A candidate who has been admitted to the Ph.D. programme based on the eligibility criteria mentioned in Clauses 4.2.1. - 4.2.4. shall be referred to as an internal full-time research scholar.

a. A regular teacher of the IGNTU and including its Regional Centers be designated as internal candidate. She/He has to produce a No Objection Certificate from the employer. Such candidates will have to avail six-months study leave so as to complete the course work.

b. A non-teaching regular employee of the IGNTU and its Regional Centers be designated as internal candidates. She/He has to produce a No Objection Certificate from the employer. Such candidates will have to avail six-months study leave so as to complete the course work. Such candidates shall have to pass RET for Ph.D. Programme or qualify under exempted category.

6.1.(iii). External Full-time Research Scholar

A candidate working in a non-degree awarding institutions recognized as a center of research by the University through MoUs, and admitted to the Ph.D. programme shall also be permitted to work as an External Full-time Research Scholar, who shall work for his/her Ph.D. in his/her parent organization duly complying with other relevant provisions of these regulations. Such candidates may complete their course work in their parent institutions, if it is at par with the curriculum prescribed by the IGNTU, Amarkantak, otherwise, they have to seek six (06) month study-leave form their parent institutions to attend the course work programme offered by the University. Nevertheless, all candidates



admitted under this clause shall have to write course work examinations conducted only by the University.

6.1.(iii). In case external full-time research scholars attending their course work classes at their respective institutions, they have to furnish an affidavit from the director/Vice-Chancellor of the institute/organization clearly stating that their course work is at par with the one offered by the University.

6.1.4. Ph.D. through Part-time Mode –

6.1.4.(i). Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

6.1.4.(ii). The candidate has to submit a “No Objection Certificate” from the appropriate authority in the organization where the candidate is employed, clearly stating that:

- a) The candidate is permitted to pursue studies on a part-time basis.
- b) His/her official duties permit him/her to devote sufficient time for research.
- c) If required, he/she will be relieved from the duty to complete the course work.

6.1.5 Part time candidate shall have to take six (06) months leave to complete the course work. **(Clause 13: UGC Ph.D. Regulations 2022)**. The eligibility for admission to Ph.D. in part-time mode, in addition to clause 4 is given in Appendix-I.

6.1.6. For admission of International students in Ph.D. Programme the IGNTU may decide their own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time. **(Clause 7: UGC Ph.D. Regulations 2022)**.

6.1.7. On receipt of admission letter, successful candidates shall pay the prescribed fees and complete other formalities pertaining to his/her admission within the stipulated time.

6.1.8. The Head of the Department/Coordinator of the School/ Centre shall send a complete list of the admitted candidates along with the necessary details to the Research cell/Ph.D. section with a copy to the same to the Dean of the Faculty within a week from the date of admission.

6.1.9. No regular Ph.D. scholar shall be allowed to take up any regular paid assignment (except registered under clause 6.1.2 & 6.1.4) during the period of research except Research Fellowships, Research Assistantships/externally funded research project assignments provided it is not detrimental to his/her research programme, as determined by the concerned DRC.

6.1.10. A Ph.D. scholar shall not be permitted to join any other degree course. However, he/she may be permitted to join part-time Diploma or Certificate Course(s) by the concerned DRC on the recommendation of the RAC, provided it is not detrimental to his/her research programme.

6.1.11. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations. **(Clause 9: UGC Ph.D. Regulations 2022).**

7. Research supervisor/co-supervisor & number of permissible Ph.D. scholars

The University shall prepare a list of eligible research supervisors/co-supervisors recommended by the DRCs and subsequently approved by the Research Degree Committee of the University (RDCU).

7.1. Eligibility for Research Supervisor /co-supervisor:

7.1.(i).Permanent faculty members working as Professor/Associate Professor in the IGNTU (including RCs), with a Ph.D., and at least five research publications in peer-reviewed or refereed journals,

7.1.(ii).Permanent faculty members working as Assistant Professors in the IGNTU (including RCs) with a Ph.D., and at least three research publications in peer-reviewed or refereed journals be recognized as a Research Supervisor in the University and its RCs. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors.

7.1.(iii). **Repeal:** Only those Assistant Professors of the IGNTU, Amarkantak (including RCs) who have completed their probation period shall be eligible to be appointed as supervisor and co-supervisor.

Amended: Eligible permanent faculty members can guide Ph.D. Scholars during their probation period also. **(UGC Notice no. F. 9-1/2020(PS/Misc.) Dated 06/03/2023)**

7.1.(iv).Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

7.1.(v).For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by the IGNTU, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors (i.e., external supervisor) if they fulfill the requirements mentioned in the clause 7.1.1 - 7.1.2.

(Clause 6: UGC Ph.D. Regulations 2022)

7.1.(vi).Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

7.1.(vii).The university shall endeavor to promote interdisciplinary and cutting edge research. In such research work, if required, a Co-Supervisor from outside the Department/School/ Centre/College/University may be appointed.

7.1.(viii).In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other



conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.

- 7.1.(ix). Faculty members with less than three (03) years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

(Clause 6: UGC Ph.D. Regulations 2022)

- 7.1(x). Both, internal and external co-supervisor/external supervisor shall be appointed on the request of RAC, and/or approval of the concerned DRC.

- 7.1.(xi) No teacher shall be allowed to supervise/co-supervise his/her blood relatives.

7.2. Allocation of research supervisor and appointment of new supervisor:

- 7.2.(i). The allocation of Research Supervisor for a selected research scholar shall be decided by the concerned DRC depending on the maximum number of scholars per Research Supervisor as specified elsewhere in these regulations, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- 7.2.(ii) Keeping the candidate's research interest in view, the concerned DRC shall assign a supervisor, co-supervisor, and external co-supervisor (mandatory, in the case of an external full-time candidate), external supervisor, to supervise the research work of the candidate; shall also notify the Research Advisory Committee constituted as per the related provision(s) of these regulations, to assist the supervisor.
- 7.2.(iii) Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority on the recommendation of the concerned DRC.
- 7.2.(iv) After the allotment of supervisor/co-supervisor, the candidate shall submit an application through his/her RAC to the Head of the Department/Coordinator of the School/Centre informing the proposed topic of research. An external full-time candidate is required to submit the consent of the proposed external co-supervisor/supervisor duly approved by the DRC or equivalent body of that institution along with the above application.
- 7.2.(v) In case where the supervisor of the candidate is appointed in sister or allied departments (as specified in Appendix-II) and co-supervisor in other department of the University, provision for transfer of the candidate (only within a year from the date of registration) pursuing research under his/her supervision in the previous department shall be available provided the candidate opts for it and the DRC of the concerned department agreed to the proposed transfer. However, the research scholar, subsequent upon transfer, shall be governed by the rules of the department/faculty to which he/she is transferred.



- 7.2.(vi) If the supervisor of a candidate proceeds on leave/lien/deputation for a period of more than 12 months, she/he shall request the concerned DRC to appoint a co-supervisor for the student.
- 7.2.(vii) However, if a supervisor proceeds on a leave/lien/deputation for a period more than 12 months or for a period of less than 12 months, but later extends his/her leave/lien/deputation beyond 12 months, and there is no co-supervisor for the student, then, she/he shall cease to be the supervisor. The concern DRC will appoint a new supervisor for the student.
- 7.2.(viii) If the supervisor of a candidate expires or is terminated from the University service, she/he shall cease to be the supervisor. In such case the concerned DRC shall appoint a new supervisor.
- 7.2.(ix) In all the cases, where the existing supervisor of a candidate ceases to be the supervisor, the DRC shall appoint a new supervisor. Or, if the candidate is having a co- supervisor in other department of the University, the candidate may opt for his/her transfer to that department, and she/he may be allowed to do so, on mutual consent of both the DRCs.
- 7.2.(x).In case of any ambiguity chairperson of the concerned DRC shall act as supervisor till the appointment of new supervisor by the DRC. Such supervision shall be treated as temporary or transitory in nature. However, if there are not enough eligible teachers in the department, or any other cogent reasons, if the Chairperson of the DRC continue his/her supervisory duties for more than a year, she/he shall *suo moto* be designated as supervisor of the student.
- 7.2.(xi)In case of serious complaint/allegation in writing by the research scholar against the supervisor involving sexual harassment/exploitation, such cases shall be dealt strictly as per the provision of UGC (prevention prohibition and redressal of sexual harassment of woman employees and students in HEIs) Regulations, 2015 and subsequent amendments to it. However, in such cases during the period of enquiry the concerned Head of the Department/Center/School shall act as interim supervisor. It shall be incumbent upon the University to complete such enquiry within six (06) of the date complaint was received. In case allegation stands validated the concerned DRC shall appoint a new supervisor for the student. Otherwise, the scholar shall revert to the original supervisor. Further, necessary action should be taken against such scholar including cancellation of his/her registration.
- 7.2. (xii)In case the supervisor proceeds on lien/deputation and wishes to transfer his/her student to the new institution, it is permissible only within one year from the date of registration (first fee payment date) of the candidate.
- 7.2. (xiii)In case the supervisor proceeds a transfer to other department in IGNTU by reappointment, the scholar remain in the same department where the scholar registered. In such case, the concerned DRC shall appoint a new supervisor to the scholar and the previous supervisor remain as co-supervisor. No interdepartmental transfer of scholar shall be allowed.
- 7.3. Number of research students under a research supervisor/co-supervisor



7.3.(i). A Research Supervisor/Co-supervisor who is a Professor, Associate Professor, and Assistant Professor, at any given point of time, can supervise maximally eight (08), six (06), and four (04) Ph.D. scholars, respectively.

7.3.(ii). The number of Ph.D. scholars working under a co-supervisor shall also be counted in his/her permissible quota of students.

7.3.(iii). In case of International students each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in the clause 7.3.1.

(Clause 6, 7 and 8: UGC Ph.D. Regulations 2022)

7.4. Inter-disciplinary Research

7.4.(i). A candidate may be permitted or encouraged to do inter-disciplinary research. She/he may be permitted for admission/registration in a department/school/ faculty other than that in which she/he has obtained his/her Master's degree, depending upon the nature of his/her research problem and subject to the recommendation of the concerned DRC.

7.4.(ii). Application for research in inter-disciplinary area shall be considered on the basis of proven ability and aptitude of the candidate for such kind of work.

7.4.(iii). To serve this purpose, the University shall develop a list of such teacher with expertise in interface subject as supervisor/co-supervisor.

8. Duration of the programme

8.1. Ph.D. programme shall be for a minimum duration of three (03) years, including course work, and a maximum period of six (06) years from the date of admission to the Ph.D. programme.

8.2. A maximum of an additional two (02) years can be given through a process of re-registration; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (08) years from the date of admission in the Ph.D. programme.

8.3. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (02) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

8.4. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

(Clause 4: UGC Ph.D. Regulations 2022)

8.5. If a candidate fails to submit the thesis at the end of the stipulated period (03 year), the concerned DRC, may grant an extension of up to three years (03), one year at a time, for the submission of the thesis, on the request of the concerned Research Advisory Committee (RAC), such that the total period for the submission of the thesis counted from the date of his/her admission does not exceed six (06) years. However, the University shall not provide fellowship to such scholar for the



extension period beyond whatever is decided in the Academic Council of the University.

- 8.6. After the expiry of six (06) years period, the candidate has to re-register himself, for which the candidate shall apply giving the reasons due to which she/he could not submit the thesis. Such application shall be duly forwarded and recommended by the concerned RAC and DRC. Once re-registration has been accepted, the candidate may submit his/her thesis anytime within two (02) years.
- 8.7. The criteria of minimum submission period (03 years) shall not be applicable in re-registration cases.

9. Course work

- 9.1. The course work is a prerequisite for Ph.D. submission.
- 9.2. The course work shall be for a minimum period of one semester. All the enrolled Ph.D. candidates (henceforth referred to as Ph.D. scholar or, Scholar) shall have to qualify the course work as per the criteria prescribed by the concerned department/faculty/University.
- 9.3. All the scholars admitted to the Ph.D. programme shall be required to complete the prescribed course work during the initial two semesters.
- 9.4. In case a Ph.D. scholar fails or not appeared in the examination due to cogent reason in the course work examination, she/he shall be given one more chance to clear the examination. However, if she/he fails again his/her admission shall stand cancelled.
- 9.5. The credit assigned to the Ph.D. program shall be a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1-1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme. **(Clause 9: UGC Ph.D. Regulations 2022).**
- 9.6. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis. **(Clause 9: UGC Ph.D. Regulations 2022).**
- 9.7. The details of the courses, course credits, passing criteria and other related matters decided by the University must be in conformity with the then applicable UGC Regulations.
- 9.8. All courses prescribed for Ph.D. shall be duly approved by the concerned Board of Studies/Faculty Board). Such courses may be from the same faculty/department where the scholar is enrolled or from other faculties depending upon the nature of research area.
- 9.9. After completing the course work, the scholar shall appear in a comprehensive examination, the modality of conduct of which shall be decided by the concerned department/faculty or at the University level.



- 9.10. Only those scholars whose attendance are 75% or above during the course work period shall be allowed to appear in the examinations. The concerned department will verify the attendance. In case of external full-time research scholars, the Head of the concerned institutions shall provide a certified copy of attendance to the Head of the department where candidate is enrolled, who shall forward the same to the office of the Controller of Examinations, IGNTU, Amarkantak.
- 9.11. All the enrolled scholars shall submit their first progress report to the Research/Ph.D. section through his/her RAC duly forwarded by the concerned DRC.

10. Research proposal Submission and Registration of candidates for the Ph.D. period

- 10.1. Once a candidate deposit his/her first fee, she/he shall be deemed to be provisionally registered to the programme. The date of registration shall be the date of the submission of first admission fee.
- 10.2. Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause (3) of Regulation 9 above, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis. **(Clause 11: UGC Ph.D. Regulations 2022)**
- 10.3. Within a month of successfully completing the course work, a scholar shall have to submit synopsis/research plan proposal on the proposed research work including title of the research work to the DRC through RAC in prescribed format.
- 10.4. The DRC shall examine the research plan proposal of the candidate including a presentation by the candidate. The DRC shall invite one external subject expert, who is not in the service of this University for evaluation of in the “Research Plan Proposal and Seminar”.
- 10.5. The DRC shall submit a panel of at least three names of external experts not below the rank of university Professor for the approval of the Competent Authority. The approved name shall remain valid for one year from the date of its notification.
- 10.6. The DRC on its satisfaction to the research proposal shall approve the proposal and the topic of the research. If any correction/change/improvement is suggested by the DRC, the candidate shall have to incorporate the same and resubmit to the DRC, with an assurance from the supervisor that changes have been incorporated.
- 10.7. If the DRC is not satisfied with the quality of the research plan proposal, the candidate shall submit a fresh proposal and deliver the seminar within a time limit specified by the DRC (not exceeding one month in any case), and a fresh evaluation shall be done. But, if only the seminar is unsatisfactory, the candidate shall deliver only the seminar again within one month.
- 10.8. If a candidate fails to submit the research plan proposal within the time specified above his/her candidature shall stand cancelled. After the conclusion of Research



Plan Proposal Seminar the scholar has to submit a synopsis on the approved topic through the RAC to the DRC.

10.9. The DRC shall forward its recommendation on the synopsis to the Research/Ph.D. section. The section shall forward the synopsis to the RDCU for approval.

10.10. The notification of RDCU approval must be communicated by the office of the Registrar of the University to the concerned department, supervisor and the scholar within a month of the date of receipt of the DRC minutes/recommendations (Research Plan Proposal approval minutes).

11. Attendance Requirements

11.1. A Ph.D. scholar is required to sign on all working days of the University in an attendance register to be kept in the office of the concerned Head of the Department/Coordinator of the School/Centre, except when she/he is on duty/sanctioned leave approved by the DRC and/or the RDCU.

11.2. The attendance requirements and leave rules shall be applicable to the external full-time research scholars and she/he shall submit a statement on requisite attendance from the center where she/he is pursuing his/her research through his/her co-supervisor (except in case of Course Work), to the concerned Head of the Department.

12. Residency Period

12.1. A full time Ph.D. Scholar shall be required to be compulsorily present in the University for a period of two years, which is known as the Residency Period (i.e., the duration she/he cannot take any regular paid assignment), in a single stretch except in case of maternity/childcare leaves.

12.2. For internal full-time research scholar described in clauses 6.4.2. and part-time Ph.D. scholars described in the clause 6.4.4. & 6.4.5., the residency period shall be the minimum period required for completing the course work (i.e., six month).

13. Leave Rules

13.1. A Ph.D. scholar shall be eligible to avail a leave of 30 days in a calendar year. She/he shall not be entitled for any inter-semester breaks, winter and summer vacations. However, she/he is entitled for an additional leave of up to 10 days on medical grounds in a calendar year. The aforesaid leave provisions are cumulative.

13.2. Male/female scholars shall be eligible for paternity/maternity/childcare leaves as per University/UGC rules once during their entire tenure as research scholar.

13.3. The leave mentioned in the clause 13.1. shall be granted by the Head of the Department/Coordinator of the School/Centre on the recommendation of the supervisor/co-supervisor. However, the maternity and child care leaves mentioned in the clause 13.2 shall be approved the RDC on the recommendation of the concerned DRC.



14. Duty or study leaves

- 14.1. If a Ph.D. scholar is willing to proceed for data collection/field trip for or less than two (02) weeks period, she/he may do so on the recommendation of the concerned RAC, duly intimated to the concerned Head of the department/Coordinator of the School/Center.
- 14.2. The DRC may recommend a Ph.D. scholar to pursue a part of his/her research work at a place outside the University (including abroad), for a period of 60 days. However, if such recommendations are for a period beyond 60 days, the recommendations of the DRC would be placed before the RDCU, which may permit a Ph.D. scholar to pursue a part of his/her research work at a place outside the University (including abroad) without exceeding the maximum time limit for the submission of the thesis laid down in these regulations.

15. Financial assistance

- 15.1. Subject to the availability of funds from the UGC or its successor/other funding agencies, the Ph.D. scholars admitted as full time scholar would be entitled to the scholarship/fellowship (including contingency grant) of such amount as prescribed by the funding agencies (e.g., The University Research Fellowship that is being provided by the UGC, New Delhi) from time to time. The award of scholarship/fellowship shall be subject to the fulfillment of the conditions governing such award.
- 15.2. The maximum duration of the UGC-University Research Fellowship is of three years, subject to satisfactory research performance of the scholar, on semester basis, and submission of a semester-wise continuation certificate from the supervisor.

One additional year of the above fellowship may be granted to the enrolled scholars subject to the production of extension letter from the concerned DRC and availability of funds from the UGC. In case of candidate having their own fellowship from different funding agencies, the terms and conditions of the funding agency shall be applicable on such scholars.

16. Pre-submission of Thesis

- 16.1. Prior to the submission of the thesis, the scholar has to submit at least six half-yearly progress reports to the office of the Research Cell/Section.
- 16.2. The respective RAC and DRC shall monitor the academic/research progress of each scholar. For this purpose, the candidate shall submit a progress report on a prescribed proforma in triplicate, at the end of each semester to the RAC through his/her supervisor and co-supervisor, if any.

- 16.3. The scholar shall also present the progress of his/her research work through a seminar to the RAC, who shall evaluate the progress made by the candidate and submit its recommendations to the concerned DRC.
- 16.4. The Chairperson of the DRC shall forward the progress report with specific recommendations to the Research Cell/Section through the Dean of the faculty.
- 16.5. Progress of a scholar in any semester shall be deemed to be unsatisfactory if the she/he is absent for a period more than that specified in these regulations.
- 16.6. The Ph.D. scholar shall submit progress reports for each semester till the submission of the thesis. The last progress report of the scholar shall be submitted to Research Cell/ Section, in the manner prescribed above, three days prior to of the submission of thesis.
- 16.7. Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the RAC/DRC of the department concerned, which shall also be open to all faculty members and other research scholars/students. **(Clause 11: UGC Ph.D. Regulations 2022)**. The supervisor shall organize a pre-submission seminar (not before the minimum required period for submission of the thesis i.e., 03 years), in consultation with the Head of the Department/Coordinator of the School/Centre, to apprise the teachers and other researchers of the Department/School/Centre of the scholar's work and receive suggestions for improvement of the research work. The RAC and the DRC shall assess the work of the candidate through this seminar. The seminar will be held in the department and shall be open for all. The suggestion so emerged may suitably be incorporated into the draft thesis.
- 16.8. If the RAC and/or the DRC are not satisfied with the quality of the work of the scholar, then she/he shall do further work and deliver a fresh seminar after a definite period specified by the DRC.
- 16.9. If the DRC is satisfied with the pre-submission seminar of the scholar, the Chairperson of the DRC shall forward the application of the scholar for submission of the thesis along with a certificate about the satisfactory completion of the pre-submission seminar and the exact title of the thesis to the Controller of Examinations with a copy of the same to the Dean of the faculty. Any extended residency period left beyond the date on which the thesis is forwarded for submission shall be deemed to have been terminated on the said date.
- 16.10. The exact title of the thesis shall be as approved by the DRC after the pre-submission seminar.

17. Change in the Research Topic

- 17.1. No major change in research topic shall be accepted after registration (at the time of synopsis submission). However, if candidate and his/her supervisor are of the opinion that minor changes are necessary, they may report the case in the concerned DRC, upon approval, this should be notified to the office of Controller of Examinations. Such changes are to be permitted for only once, before or after pre-submission seminar by the scholar.

18. Language of the thesis

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18.1. The language of the thesis shall either be in English or Hindi, except for the thesis submitted in the area of languages.

18.2. In case language of the thesis is other than English, the scholar has to submit one page summary of the work in English.

19. Unfair means and plagiarism

19.1. In case a Ph.D. scholar is found adopting or suspected of adopting unfair means or lifting of other's work and inserting it in his/her work without proper acknowledgement, credit and reference. The university may take such penal action as may be necessary to uphold the sanctity and the integrity of the research work and the credibility of the university.

19.2. The thesis must comply with the UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018.

19.3. The University may take *suo-moto* cognizance of all such unethical practices/cases. Such cases may also be reported by any person to the University through the Head of the Department/Coordinator of the School/Dean of the faculty.

19.4. For works involving animals, transgenic alien seeds, explants and hazards materials must comply with guidelines of animal ethics and bio-safety recommendations issued from time to time by the UGC and other concerned agencies.

19.5. The University shall have a mechanism using well-developed software applications to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree (as envisioned in the UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018). **(Clause 11: UGC Ph.D. Regulations 2022)**

19.6. A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution. **(Clause 11: UGC Ph.D. Regulations 2022)**

20. Submission of Thesis

20.1. The scholar is required to submit the thesis within six months from the date of his/her pre-submission seminar (without exceeding the maximum time limit for the submission of the thesis as laid down elsewhere in these regulations), failing which he/she shall be required to deliver a fresh pre-submission seminar.

20.2. One month prior to submission of the thesis the candidate has to submit six (06) copies of the summary/abstract of the thesis, duly forwarded by Head of the concerned Department/Coordinator of the School/Centers, describing the salient features of his/her work, to the office of Controller of Examinations/Ph.D. Section.

20.3. The scholar is required to submit one (01) soft copy of the thesis on CD/Pen Drive in non-editable/Secure PDF format along with the hard copies of the same.

- 20.4. The scholar shall submit six (06) hardbound printed copies of the thesis to the office of the Controller of Examinations, duly forwarded by the Head of the Department/Coordinator of the School/Center. The thesis shall contain the certificate by the supervisor in prescribed proforma, a declaration by the candidate in prescribed proforma, a course work completion certificate, pre-submission seminar completion certificate, and a copyright transfer certificate in prescribed proforma, anti-plagiarism statement and other necessary certificates, as required from time to time.
- 20.5. The model for cover and title page of the thesis has been appended as Appendix-XIV and Annexure II to these regulations and shall be strictly followed.
- 20.6. The thesis must be a piece of original research work characterized by the discovery of facts or by fresh approach towards the interpretation of facts or theories on an applied work of great significance. In either case it shall evince the capacity of the scholar for critical examinations and independent judgment, and should also be satisfactory as far as literary presentation is concerned.
- 20.7. A thesis once submitted cannot be re-submitted except when the examiner recommends for the revision of the thesis.

21. Evaluation and Assessment procedure:

- 21.1. The supervisor through concerned DRC shall submit a panel of six (06) external experts in the concerned subject representing different parts of the country, of which, not more than two (02) should be from the single state, but not more than one from a single university, in prescribed format to the office of the Controller of Examinations. Wherever possible, one of the external examiners should be chosen from the outside India. The external examiner(s) should be academics with a good record of scholarly publications in the field. The Vice-Chancellor may however ask for more names of experts. **(Clause 11: UGC Ph.D. Regulations 2022)**
- 21.2. The external expert panel shall include the names of Professor (or equivalent), however, a maximum of one name not be below the rank of Associate Professor (or equivalent) may be allowed.
- 21.3. The Research supervisor/co-supervisor has to ensure that suggested examiners must not be in blood relation with the Ph.D. scholars as well as Research Supervisor.
- 21.4. The examiner panel must not contain any name from the institution of scholar's supervisor and co-supervisor.
- 21.5. In the case of a research scholar who has done any part of his/her work in another institution, the panel of examiners shall not include any person working in that institution.
- 21.6. In case the subject of research area is such that adequate numbers of experts are not available within the country; the panel may contain names of experts from foreign countries.
- 21.7. The concerned supervisor shall act as internal examiner.



- 21.8. The Vice-Chancellor would then select and appoint two (02) names from the panel as external examiners. The names shall be communicated to the office of the Controller of Examination.
- 21.9. The Controller of Examinations shall get in touch with each examiner with a copy of the summary/abstract to secure acceptance of the examinership. For this purpose, if e- mail address of the examiner is available, she/he shall be contacted through e-mail to get his/her consent at the earliest. If however, no information is received from an examiner within two-week period from first communication to the examiner. After this a reminder will be given to examiner, if no response is received within two-weeks from the date of reminder, his/her appointment shall be cancelled and the Vice-Chancellor shall appoint a new examiner from the existing panel of examiners.
- 21.10. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor (internal examiner) and at least two external examiners (selected by the Vice-Chancellor), who are not in employment of the University. **(Clause 11: UGC Ph.D. Regulations 2022)**
- 21.11. Examiners shall have to send their reports in specified proforma.
- 21.12. The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the University shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D. **(Clause 11: UGC Ph.D. Regulations 2022).**
- 21.13. In case one examiner accepts and another suggests for major revision, concerned DRC shall inform student and his supervisor to incorporate necessary changes to the satisfaction of DRC. If the external examiner has requested to resend the thesis to him after revisions, the same shall be forwarded to the examiner.
- 21.14. The University shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis. **(Clause 11: UGC Ph.D. Regulations 2022).**
- 21.15. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the viva voce board. The viva-voce board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The viva-voce shall be open to the members of the Research Advisory Committee/ concerned Head of the department/Coordinator of the School/Centers/ faculty members/research scholars, students and other interested experts/researchers. **(Clause 11: UGC Ph.D. Regulations 2022)**



22. Award of the Degree

- 22.1. In general, the Ph.D. degree shall be awarded in the subject/department where the candidate is enrolled on the topic chosen and approved by the DRC.
- 22.2. The date of award of degree shall be the date of submission of the thesis to the University. However, in case of revision the date of submission shall be the date of submission of revised thesis.
- 22.3. Once submitted, the office of the Controller of Examinations shall issue a submission certificate to the scholar.
- 22.4. The University shall complete the entire process of evaluating a Ph.D. thesis, including the declaration of viva-voce result, within a period of six months from the date of submission of the thesis.

23. Issuing a Provisional certificate

- 23.1. The actual degree shall be awarded in convocation convened by the University from time to time.
- 23.2. Prior to the actual award of the degree, the University shall issue a provisional certificate to this effect to the scholar that the Ph.D. is being awarded in accordance with the provisions of IGNTU Ph.D. Regulations 2016/2022. **(Clause 15: UGC Ph.D. Regulations 2022).**

24. Cancellation of Registration

The registration of a Ph.D. scholar shall be cancelled by the DRC in any one of the following eventualities:

- 24.1. If a scholar fails to submit satisfactory progress report through supervisor regularly for two years (i.e., four half-yearly progress report).
- 24.2. If a scholar is absent for a continuous period of four weeks without prior information/sanction of leave.
- 24.3. If a scholar fails to submit synopsis within stipulated time.
- 24.4. If a scholar fails to renew his/her registration in any semester subject to the provisions contained in these regulations.
- 24.5. If a scholar fails to submit the thesis within the maximum stipulated time as provided in these regulations.
- 24.6. If a scholar resigns from the Ph.D. programme and the resignation is duly recommended by his/her research supervisor.
- 24.7. If a scholar is found involved in an act of misconduct and/or indiscipline and the Competent Authority has recommended his/her termination.
- 24.8. If a scholar fails to deposit the fee within the prescribed time.



25. Temporary withdrawal from the programme (De-registration and Re- registration)

25.1. A scholar admitted to the Ph.D. programme may be permitted by the RDCU, on recommendation of the DRC to temporarily withdraw from the programme on some specific reasons (termed as 'de-registration'), and later allowed to join back to complete the research (termed as 're-registration') and submit the thesis, without exceeding the maximum prescribed time limit for the thesis submission as described elsewhere in these regulations. This withdrawal may be granted for whole or part of the semesters. The relaxation shall be provided only once in entire tenure of Ph.D. research. The temporary withdrawal may be permitted on any one of the following reasons:

25.1.(i). If the candidate gets a professional employment, and has completed the residency period.

25.1.(ii). If a fulltime sponsored candidate after fulfilling the minimum residency period requirement for the submission of the thesis joins back his/her parent organization.

25.2. Except in case of maternity leave, the above relaxation shall only be availed after completion of the minimum residency period.

25.3. During the period of withdrawal the candidate has to pay fee, and submit progress reports timely.

26. Depository with INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions. (Clause 7: UGC Ph.D. Regulations 2022).

27. Research Committees

Subject to the general superintendence of the Academic Council, the following Committees shall deal with all matters related to the Ph.D. programme of the University in accordance with these regulations:

- 1) The Research Degree Committee of the University (RDCU)
- 2) The Departmental/School/Centre Research Committee (DRC)
- 3) The Research Advisory Committee (RAC)

27.1. The RDCU shall consist of the following (as per section 2 of the ordinance 46 of the University):

i)	Vice-Chancellor /Pro-Vice Chancellor (ex-officio)	Chairperson
ii)	Dean of the Faculty (ex-officio)	Member
iii)	Head of Departments of the University/Regional Campuses/ Centers not exceeding five by rotation	Member
iv)	Professors other than dean of the faculty and Head of the Departments not exceeding five by rotation	Member

v)	Four Associate Professors to be nominated by the Vice- Chancellor representing different disciplines in the University/Regional Campuses/ Centers	Member
vi)	Four external experts to be nominated by the Vice-chancellor representing different discipline in the University	Member
vii)	Registrar	Secretary (Ex-officio)

Registrar shall convene the meetings of the RDCU, at least twice in a calendar year.

The functions of RDCU shall be as mentioned in section 2 of the Ordinance 46 of the University. The quorum of the RDCU shall be 1/3 of the total members.

The term of office of the member other than ex-officio member shall be for a period of three years.

27.2. The DRC shall consist of the following:

i)	Head of the Department	Chairperson
ii)	All Professors in the Department	Member
iii)	One Associate Professor of the Department, according to seniority, by rotation every three years	Member
iv)	One Assistant professor of the Department, according to seniority, by rotation every three years	Members
v)	Supervisor and Co-Supervisor (if any) of the concerned Ph.D. scholar	Member (s)
vi)	One external expert not below the rank of Professor*	Member

In case there are three or less than three eligible teachers in the Department/School/Center, the DRC shall consist of the following:

i)	The Dean of the concerned faculty	Chairperson
ii)	All eligible teachers of the Department	Members
iii)	A senior teacher of a sister Department nominated by the Dean in consultation with the Head of the Department	Member
iv)	One external expert not below the rank of Professor*	Member

* Only at the time of synopsis presentation/research plan proposal seminar and the nomination valid for one (01) year.

The DRC shall appoint one of its members by rotation as Secretary or Convener.

27.3. The RAC shall consist of the following:

There shall be a Research Advisory Committee for each Ph.D. scholar. The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee, and this committee shall have the following responsibilities:

27.3.4. To review the research proposal and finalize the topic of research.

27.3.5. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.

27.3.6. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

(2) Each semester, a Ph.D. scholar shall appear before the RAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The RAC shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the Higher Educational Institution concerned. A copy of such recommendations shall also be provided to the Ph.D. scholar.

(3) In case the progress of the Ph.D. scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the RAC may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme. **(Clause 10: UGC Ph.D. Regulations 2022).**

i)	Internal Supervisor of the concerned Ph.D. Scholar	Convener
ii)	Co-supervisor/external supervisor (if any) of the concerned Ph.D. Scholar	Member
iii)	A nominee of the Chairman of DRC	Member
iv)	A member from the sister/allied subject/department on the recommendation of the Supervisor.	Member

A teacher who is not eligible to guide a Ph.D. scholar cannot become a member of any of the research committees mentioned above.

The DRC shall not make any recommendation that is not in conformity with these regulations.

28. Difficulty: Notwithstanding anything contained in these Regulations, any question, which is not covered by these Regulations, or any difficulty arising out of these Regulations, shall be dealt with by the Academic Council.

29. Repeal: From the date when Ph.D. Regulations comes into force all previous regulations on the subject shall cease to have effect. Provided that this revocation shall not affect the previous regulations so revoked or anything done or suffered under any previous regulations so revoked or affects any right, privilege, obligation or liability acquired, arrived or incurred under any regulations so revoked.

30. Fee structure: As notified by the University from time to time.



Provisions for Part-Time Ph.D.

1. Eligibility for Part-time Research Scholars
 - a. A permanent/regular teaching faculty of any Higher Education Institution (HEI), provided s/he justifies the need for a such degree and duly endorsed by the parent institution, clearly stating that the faculty shall be permitted for leaves as per need of the program.
 - b. A permanent government servant, not below the rank of the group 'B' services (as defined by the DoPT), provided s/he justifies the need for such a degree and his/her application is duly endorsed by the parent institution, clearly stating that the employee shall be permitted leave as per need of the program.
 - c. Candidates from industries/Corporate sector:
 - i. The Industry/Corporate sector should have been duly approved by the concerned Regulatory Body e.g., FSSAI, ICAR, GMP, etc.
 - ii. The candidate should have completed a minimum of five years of permanent/regular service in the same organization, not below the rank of group 'B' government services.
 - iii. Justification for the need for such a degree in organizational/societal advancement.
 - iv. Till completion of the Ph.D., the candidate has to work in the same organization, if s/he leaves the organization during the program, her/his admission shall be canceled.
 - v. Any IPR arising out of the work shall be the sole proprietary of the IGNTU.

Criterion for Journalism and Mass Communication

- i. Three years of working experience as sub-editor/staff reporter/ correspondent or senior editorial position in ABC accredited newspapers or experience of journalism of the above mentioned or equivalent capacity from digital editions/news portals of the ABC accredited newspapers. The newspaper/digital editions/news portals must have been uninterruptedly and regularly under publication for a period of not less than thirty-six (36) months. Experience from House periodicals, souvenirs, annual periodicals, bi-monthlies, quarterlies, social media sites, non-recognized portals and second edition of any periodical will not be considered.
- ii. Three years of working experience as editorial crew/staff reporter or senior editorial position in ABC satellite news channels. The news channel must have been uninterruptedly and regularly under operation and on air for a period of not less than thirty-six (36) months. Experience from House periodicals, souvenirs, annual periodicals, bi-monthlies, quarterlies and second edition of any periodical will not be considered.
- iii. Three years of working experience as content editor/presenter/ announcer or anchor, or senior position in creative section in recognized Radio station. The Radio station must be operational and available for listeners for a period of not less than thirty-six (36) months.



- iv. Three years of working experience in any central government or state government media organization/ government organization/PSUs/ Autonomous bodies as not less than class B employee.
 - v. Three years of working experience in creative section of advertising/PR agency accredited by INS or similar body/Central Bureau of Communication. The agency must be in operation for a period of not less than thirty-six (36) months.
 - vi. A recognized film/documentary film maker with evidence of certification and release/public exhibition of at least one film/documentary film produced/directed/contributed as creative member.
 - vii. Justification for the need for such a degree in organizational/societal advancement.
 - viii. Till completion of the Ph.D., the candidate has to work in the same organization, if s/he leaves the organization during the program, her/his admission shall be canceled.
 - ix. Any IPR arising out of the work shall be the sole proprietary of the IGNTU
2. The admission of Part-Time candidates shall be governed by the then-applicable UGC and IGNTU regulations e.g., UGC Ph.D. Regulations 2022 and IGNTU Ph.D. Regulations 2023. That is -
- a. Admission to such candidates shall be only through the Research Entrance Test (RET) or exempted category as specified in the regulations. No direct admission or any specific quota.
 - b. It is mandatory for such candidates to avail of six months' leave to complete the requisite coursework
 - c. S/he shall not be entitled to any fellowship/scholarship from the University.
3. In addition to the above-mentioned documents, the candidate has to submit a "No Objection Certificate" from the Appropriate Authority of her/his parent organization, clearly stating that:
- a. The candidate is permitted to pursue studies on a part-time basis.
 - b. His/her official duties permit him/her to devote sufficient time to research.
 - c. If required, he/she shall be permitted leave and be relieved from the duties as and when asked for.

P.S.

Appendix –II

A list of departments and their allied or sister subjects (the list is non-exhaustive and purely for enrollment in University Ph.D. programme, not for employment purpose).

Sl. No.	Name of the Department	Allied/sister subject(s)
1	Botany	Plant Science, Biological Science (with specialization in Plant Science), Life Science (with specialization in Plant Science), Environmental Science, Biotechnology (with Specialization in Plant Science), Genetics, Bioinformatics, Biochemistry, Agriculture, Food Science, Microbiology.
2	Biotechnology	Botany, Zoology, Microbiology, Animal Science, Biological Science, Life Science, Environmental Science, Agriculture Science, M.Tech. in Biotechnology, M. Tech. in Biological Sciences, Medical Sciences, Engineering Sciences, Chemical Sciences and Physical Sciences, Genetics, Biochemistry
3	Chemistry	Biochemistry, Material Science, Industrial Chemistry
4	Environmental Science	Botany, Zoology, Animal Science, Biological Science, Life Science, Geology, Sociology, Economics, Agriculture, Chemistry/Chemical Science.
5	Geology	Environmental Science, M.Tech. in Civil Engineering, Mining and Metallurgy
6	Mathematics	Cosmology
7	Physics	Cosmology, Material Science, Condensed Matter Physics, Quantum Physics, Spectroscopy, Nuclear Physics
8	Statistics	Mathematics, Computer Science, Population Studies, Agricultural Statistics, Health Statistics, Data Science, Demography and Biostatistics
9	Zoology	Animal Science, Biological Science (with specialization in Animal Science), Life Science (with specialization in Animal Science), Biochemistry, Neuroscience, Genetics, Food Science, Bioinformatics, Biotechnology (with specialization in Animal Sciences), Fishery, Entomology



APPENDIX-IV

**WILLINGNESS CERTIFICATE BY THE SUPERVISOR/CO-SUPERVISOR
(TO BE SUBMITTED BY THE SUPERVISOR DURING THE FIRST DRC)**

Certified that:

1. I am willing to guide the research work of Shri/Km/Smt _____ on the topic _____ if she/he is registered for Ph.D. degree.
2. The study will lead to a valuable contribution
3. Currently, I have _____ number of Ph.D. scholars registered under me.
4. The candidate is not in a close relation, as defined in the University examination ordinance

Name & Signature of the Supervisor with Date

Name & Signature of the Co-Supervisor with Date (if any)

Certified that the available facilities will be extended to
Shri/Km/Smt _____
For his/her research work, if registered for PH.D..

Signature of the Dean/Head



APPENDIX-VI



**INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY, AMARKANTAK (M.P.)
ATTENDANCE SHEET FOR PH.D. SCHOLAR**

1. Name of the PH.D. Scholar : _____
2. Enrollment No. : _____
3. Department : _____
4. Name of the Faculty . : _____
5. Scholarship Released up to : _____
6. Attendance of the Research : _____
Scholar (Month and Year)
7. Attendance Percentage : _____
8. (i) Name of the Bank : _____
(ii) Name of the Branch : _____
(iii) Bank Account No. : _____
(iv) IFSC Code : _____

**Forwarded by the
Supervisor
With Stamp**

**Verified by the Head
With Stamp**

**Signature of the Dean
With Stamp**

A handwritten signature in black ink, appearing to be 'M. S.', written over a horizontal line.

APPENDIX- V

**DECLARATION
(FROM THE SCHOLAR)**

I _____ hereby declare that I am a regular Ph.D. research scholar of the Department of _____, Indira Gandhi National Tribal University, Amarkantak, follow the IGNTU Ph.D. Regulations/ UGC Ph.D. Regulation and any amendments thereof from time to time.

University may take any action as per norms if I violate the above mentioned Ph.D. guidelines/Regulations and Disciplinary guidelines.

Signature of the Scholar



APPENDIX-VII

CONTINUATION CERTIFICATE

(To be submitted every three month)

This is to certify that the Ph.D. Scholar Mr/Ms. _____
bearing enrolment no. _____ is continuing his/her research
in the Department of _____ (Ph.D.) as per UGC/IGNTU
Ph.D. Regulations.

Signature of the Scholar

Signature of the Supervisor

Signature of the Head of the Department



APPENDIX-VIII

**HALF-YEARLY PROGRESS REPORT OF THE PH.D. WORK (RAC
RECOMMENDATION)**

(Submitted by the candidate in duplicate duly countersigned by the supervisor, the
Concerned RAC)

1. Name of the scholar :
2. Period of the report :
3. Provisional Registration/
Registration number & date :
4. Title of the research work :
5. Name, Designation, address and
contact details of the Supervisor :
6. Name, Designation, address and
contact details of the co-supervisor
(if any) :
7. Publications (if any) :
8. Abstract sent for presentation in
conference/ seminar/ symposium :

Signature of the Scholar

As per the Clause _____ of the Ph.D. Regulations, 2016/2022 of IGNTU, the Research Scholar has given seminar on the research work performed on the said period (SL no. 2) and RAC observed the following.

Recommendation of the work Progress: Satisfactory/Non-Satisfactory

Remarks/Suggestions (if any)

Forwarded to DRC

**Signature
RAC member**

**Signature
Co-Supervisor (if any)**

**Signature
(RAC Chairman & Supervisor)**



APPENDIX-IX

Half-Yearly Progress Report of the Ph.D. work

(Submitted by the candidate in duplicate duly countersigned by the supervisor, the Concerned RAC & the DRC)

1. Name of the scholar :
2. Period of the report :
3. Provisional Registration/ Registration :
number & date
4. Name of the department/institution :
where scholar is working
5. Area of work :
6. Title of the research work :
7. Name, designation, address and contact :
details of the supervisor
8. Name, designation, address and contact :
details of the co-supervisor (if any)
9. Publications (if any) :
1. Abstract sent for presentation in :
0. conference/seminar/symposium
1. Whether fee has been paid or not, :
1. enclose a photocopy of the same

Name & Signature of the Candidate with Date

Remark of the supervisor:

(a) Satisfactory / Not satisfactory

(b) Deficiency observed

(c) Suggestions

Name & Signature of the Supervisor(s) with Date

Signature of the Chairman DRC with Date

P.S.

APPENDIX-X

FORMAT FOR PROPOSED RESEARCH WORK

(To be submitted in eight copies with second progress report)

1. Name of scholar(in English) : _____
(in Hindi) : _____
2. Title of the research work :
3. Location
a). Institution/department where the work is to be done.....
b). Geographical area of investigation, if any.....
4. Introduction :
5. Relevance of proposed work :
6. Objectives :
7. Current status of the proposed work
a) At National level
b) At International level
8. Identified research gaps in :
the proposed work
9. Major Hypothesis, if any :
10. Research methodology :
11. Expected outcome of the :
proposed work
12. Bibliography :
2.

Name & Signature of the Candidate with Date

Name & Signature of the Supervisor with Date

Remark: Approved/ Not-approved

Signature of the Chairman DRC with Date



APPENDIX -XI

**FORMAT FOR INTER-DISCIPLINARY RESEARCH PROPOSAL
(To be submitted along with first progress report)**

1. Name of Scholar (in English).....
(in Hindi).....
2. Name of the supervisor.....
3. The subject in which the candidate has Master's degree.....
4. The proposed discipline in which the candidate intends to work for PH.D.....
5. Details of the department where the candidate intends to work for PH.D.....
6. The theme of the proposed work
7. Whether the proposed PH.D. theme is partly, directly or indirectly related to the subject in which the candidate is having his/her master's degree. If yes, describe briefly (in not more than 100 words) the input from the two disciplines to the proposed area of research for PH.D.....
8. Do you have any publication pertaining to your chosen theme for PH.D.? If yes, furnish details.....
9. Comments of the supervisor and co-supervisor under whom the candidate proposes to work.....

Name & Signature of the Candidate with Date

Name & Signature of the Supervisor with Date

Name & Signature of the Co-Supervisor with Date

Signature of the Chairman DRC with Date



Appendix-XII

APPLICATION FOR PRE-PH.D. THESIS SUBMISSION SEMINAR

The Chairman (DRC) and HOD

Date:.....

Department of

IGNTU, Amarkantak

Sir/Madam

This is to inform you that I have completed my research work for the degree of Doctor of Philosophy (Ph.D.) and have compiled the draft thesis on the topic of "....."

Kindly allow me to present my research work in "Pre-Ph.D. Thesis Submission Seminar" for valuable suggestion before final submission of the thesis.

Thanking you,

Yours sincerely

Name and Signature of the Scholar :

Enrollment no :

Comment of the Supervisor:

Mr/Ms has completed his/her research work as per the approved research proposal and fulfilled all the requirements according to the UGC/IGNTU Ph.D. Regulations, the scholar may please be allowed to present his/her research work in the Pre-Ph.D. Thesis Submission Seminar.

Name and Signature of the Supervisor



Appendix-XIII

FORMAT FOR PRE-PH.D. THESIS SUBMISSION SEMINAR REPORT

Name of the scholar :
Enrollment no :
Department :
Title of the research work :
Name of the supervisor/Co-supervisor :
Date of the Pre-Ph.D. thesis submission :
seminar

Report:

- A. Does the scholar carry out the research according to the approved research proposal by DRC and RDCU. : Yes/No
If no, provide details
- B. Does it has any change (minor/major) in the title : Yes/No
If yes, provide new title.....
- C. Does the scholar fulfilled all requirements for submission of Ph.D. thesis as per UGC and IGNTU Ph.D. Regulations. : Yes/No

Recommendations:

- A. The scholar has presented her/his Ph.D. research in the Pre-Ph.D. thesis submission seminar is : Satisfied/Not-Satisfied.
B. Recommended for submission of final thesis within six months. : Yes /No
C. Not-recommended due to the following reason.....
.....

Suggestion (if any)
.....

Name & Signature of the Supervisor with Date

Name & Signature of the Co-Supervisor with Date

Signature of the Chairman DRC with Date



Appendix-XIV

LAYOUT OF THE PH.D. THESIS

The following format may be normally adopted for the Ph.D. thesis:

1. Content format of the Ph.D. Thesis (Annexure I)
2. Cover Page (Annexure II)
3. Inner Cover Page (Annexure II)
4. Dedication, if any
5. Undertaking from the Candidate (Annexure III)
6. A certificate from the Supervisor/Co- Supervisor duly forwarded by the Dean of the Faculty/Head of the Department (Annexure-IV)
7. Certificate for the completion of course work in cases where the Course Work is a part of Ph.D. programme (Annexure-V)
8. Certificate for the successful completion of the pre-submission seminar (Annexure-VI)
9. Anti-plagiarism certificate (Annexure VII)
10. A copyright transfer certificate (Annexure- VIII)
11. Acknowledgements
12. Contents
13. List of symbols, figures and tables, if any
14. Preface of the thesis
15. Introduction
16. Literature review
17. Chapters covering the work of the candidate
18. Conclusion
19. Bibliography/References
20. Appendices
21. List of Papers Communicated/accepted/published/presented
22. Copies of acceptance letter in case the papers are accepted.
23. Copies of manuscripts/reprints of the papers accepted/published.
24. A personal profile not exceeding one page with photograph of the candidate.
- 25.

References should be arranged per style accepted in discipline concerned.



Annexure-I

FORMAT FOR PH.D.THESIS CONTENT (PRINTING)

The research scholars have to submit the Ph.D. thesis as per the following format.

- A. Three copies of hard bound and two copies of Soft Bound thesis and one soft copy in PDF format in a pen drive dedicated for the purpose. Spiral Binding is not allowed.
- B. The Researcher is required to adhere to the following standards for submission of PH.D. Thesis:
 - i. Thesis may be printed back-to-back but must be on plain A4 size paper.
 - ii. The thesis must be printed in MS Word Times New Roman Font (font size 12) for subjects using English as the language for communication)/LaTeX, TeX, eqn., Macsyma, Mathematica, MATLAB etc. for subjects dealing with mathematical equations etc. and must be compatible/Kokila Font (font size 16) for subjects using Devnagri script for communication.
 - iii. The lines should have a spacing of 1.5 between them.
 - iv. In case of thesis in Hindi language, the font should be "Kruti Dev 010" or Unicode, used with size 16 or suitable readable
 - vi. colour: Shades of blue/maroon are accepted
 - vii. Margins must be adjusted as per the following scheme:

○ Left	:	1.5 inches (3.81 cm)
○ Right	:	1.0 inch (2.54 cm)
○ Top	:	1.25 inches (3.17 cm)
○ Bottom	:	1.25 inches (3.17 cm)
○ Gutter	:	0 inch (0 cm)
○ Gutter position	:	Left

MD

Annexure-II

FORMAT FOR FRONT COVER AND INNER TITLE PAGE

(Title of the thesis)

A thesis submitted in partial fulfillment for the award of the degree of Doctor of
Philosophy (Ph.D.) in(Subject),
in accordance with the provisions of IGNTU Ph.D. Regulations



By

(Name of the candidate)

Under Supervision of

(Name and designation of supervisor & co-supervisor)

(Name of the Department)

INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY

AMARKANTAK (M.P.) 484 887

Registration No.: Year of Submission

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ANNEXURE- III

CANDIDATE'S DECLARATION

I, Shri/Km/Smt _____ S/D/W of Mr. _____ hereby certify that the research work embodied in this Ph.D. thesis entitled _____ is my own original work carried out by me under the supervision of _____ and the co-supervision of _____ for a period of _____ from _____ to _____ at Indira Gandhi National Tribal University, Amarkantak and (name of the institution where work has been carried out partly or fully) _____. The matter embodied in this Ph.D. thesis has not been submitted to any other University/Institution for the award of any other degree/diploma.

I declare that I have faithfully acknowledged, given credit to and referred to the research workers wherever their works have been cited in the text and the body of the thesis. I further certify that I have not willfully lifted up some other's work, para, text, data, results, etc., reported in the journals, books, magazines, reports, dissertations, thesis, etc. or available at web-sites and included them in this Ph.D. thesis and cited as my own work. I solely own the responsibility for the originality of the entire content.

I have also completed the other relevant requirements of the Ordinance/Regulations in force.

Date: _____
Place: IGNTU, Amarkantak

(Name Signature of the Candidate)



ANNEXURE - IV

CERTIFICATE FROM THE SUPERVISORS/CO-SUPERVISOR

This is to certify that the thesis entitled.....
by.....(name of the candidate) has been submitted under my
guidance. She/he has completed all necessary requirements as per the Ph.D. Regulations
of the University. Further, the statement made by the candidate in his/her declaration is
correct to the best of my/our knowledge.

**(Name & Signature of Co-
supervisor with Date)**

**(Name & Signature
of Supervisor with
Date)**

(Signature of the Dean/HOD/Coordinator of the School with seal)

12/11

ANNEXURE -V

COURSE WORK COMPLETION CERTIFICATE

This is to certify that Shri/Km./Smt _____, a bonafide research scholar of the Department of _____, has satisfactorily completed the course work requirement which is a part of his/her Ph.D. programme.

(Name & Signature of the Controller of Examinations)

Date:

Place: IGNTU, Amarkantak

Or

This is to certify that Shri/Km./Smt _____, a bonafide research scholar of the Department of _____, has already completed M.Phil course, hence course work completion is not required as a part of his/her PH.D. programme.

(Name & Signature of the Controller of Examinations)

Date:

Place: IGNTU, Amarkantak



ANNEXURE - VI

PRE-SUBMISSION SEMINAR COMPLETION CERTIFICATE

This is to certify that Shri/Km./Smt _____, a bonafide research scholar of the Department of _____, has satisfactorily completed the pre-submission seminar requirement which is a part of his/her Ph.D. programme.

(Signature of the Chairman DRC)

Date:

Place: IGNTU, Amarkantak



ANNEXURE - VII

ANTI-PLAGARISM CERTIFICATE

It is certified that I/We have examined the Ph.D. thesis entitled _____
by _____ I/We undertake the following:

- a. Thesis has significant new work/knowledge as compared to the already published or are under consideration to be published elsewhere. No sentence, equation, diagram, table, paragraph or section has been copied verbatim from previous work unless it is placed under quotation marks and duly referenced.
- b. The work presented is original and own work of the candidate (i.e. there is no plagiarism). No ideas, processes, results or words of others have been presented as candidates own work.
- c. There is no fabrication of data or results, which have been compiled/analyzed.
- d. There is no falsification by manipulating research materials, equipment or processes, or changing or omitting data or results such that the research is inaccurately represented in the research record.
- e. The thesis has been checked using _____(name of software) (copy of originality report attached) and found within limits as per HEC plagiarism Policy and instructions issued from time to time.

(Name & Signature of Co-Supervisor)

(Name & Signature of Supervisor)



ANNEXURE VIII

COPYRIGHT TRANSFER CERTIFICATE

Title of the Thesis:

Candidate's Name:

COPYRIGHT TRANSFER

The undersigned hereby assigns to the Indira Gandhi National Tribal University all rights under copyright that may exist in and for the above thesis submitted for the award of the Ph.D. degree.

Signature of supervisor/co-supervisor

Signature of the candidate

Note: However, the author may reproduce or authorize others of reproduce material extracted verbatim from the thesis or derivate of the thesis for author's personal use provided that the source and the University's copyright notice are indicated.



APPENDIX-XV

FORMAT FOR PANEL OF EXAMINERS

Sl. No.	Name and Designation of Examiner	Address	Area of specialization	Contact details (including email, telephone and mobile number)
1.				
2.				
3.				
4.				
5.				
6.				

Note: The examiners suggested would be actively engaged in the area of research work concerned, and also must not be below the rank of university Associate Professor or researcher in equivalent grade.

(Name & Signature of supervisor with date)

Recommendation of DRC

(Signature of the Chairman DRC)



APPENDIX- XVI



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इंदिरा गांधी राष्ट्रीय जनजातीय विश्वविद्यालय, अमरकंटक (म.प्र.)

INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY, AMARKANTAK (MP)

Inspiring Students, Empowering Society

(संसद के अधिनियम द्वारा स्थापित केन्द्रीय विश्वविद्यालय) (A Central University established by an Act of Parliament)

Academic Verification Report by the Supervisor concerned

Name of Ph.D. Scholar :

Enrollment No. :

Date of Registration in Ph.D. programme :

Title of the Ph.D. thesis:

SN	Required details/documents for submission of thesis	Certify (mention YES or NO)
1.	Thesis submission from (to be submitted to CoE office by the Ph.D. Scholar) with: i. Three hard bound copies and two soft copies of thesis ii. 2 Soft copy of thesis and abstract (in PDF non-editable format) iii. Copy of research paper published in referred journals & conference/ seminar presentation certificate (if any) iv. Copy of Anti-plagiarism certificate/report. v. No Dues Certificate in the prescribed format.	
2.	Registration letter/confirmation of Ph.D. Scholar in the Department	
3.	All fee receipts Mention period with date to	
4.	Minutes of DRC meeting/letter wherein the name of the supervisor/Co-supervisor (if any) and the title of the Ph.D. thesis are approved	
5.	Duly signed Synopsis approved by the DRC	
6.	All six monthly progress reports/minutes submitted to Academic Section as per IGNTU Ph.D. Regulation	
7.	Pre-Ph.D. Seminar completion Certificate (enclosed)	
8.	Ph.D. Course Work completion certificate	
9.	Panel of Examiners along with abstract already submitted to the Exam Section	
10.	Minutes of DRC meeting/letter granting extension with copy of approval (if any)	

Certified and forwarded by the Supervisor & Co-Supervisor (if any)

(Signature of Candidate with Date)

HoD/Chairman, DRC (Signature with Seal)

Dean of the Faculty Concerned (Signature with Date)

Use for Ph.D. Section

Verified and forwarded to Examination Section for further processing.

DA

S.O./A.R.

Professor Incharge (Ph.D. Cell)/COE

Appendix-XVII



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इंदिरा गांधी राष्ट्रीय जनजातीय विश्वविद्यालय, अमरकंटक (म.प्र.)

INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY, AMARKANTAK (MP)

Inspiring Students, Empowering Society

(संसद के अधिनियम द्वारा स्थापित केन्द्रीय विश्वविद्यालय) (A Central University established by an Act of Parliament)

Form for submission of Ph.D. Thesis

(to be filled by the Ph.D. Scholar)

Name of the Faculty:

Department:

To,

The Professor (i/c) (Ph.D. Cell)/ Controller of Examinations
IGNTU, Amarkantak (MP).

Sir,

I request you to kindly permit me to submit my thesis at the Examination Section for the degree of Doctor of Philosophy in subject
for this purpose, I enclose herewith the following:

- Five copies of thesis
- 2 Soft copy of thesis and abstract (in PDF non-editable format)
- Copy of research paper published in referred journals & conference/ seminar presentation certificate (if any)
- Copy of Anti-plagiarism certificate/report.
- No Dues Certificate in the prescribed format.

- Name of the candidate (in English):
(in Hindi)
- University Enrollment No. :
- Mother's Name:
- Father's Name:.....
- Address for communication:
- Contact No. & Email:.....
- Date of Registration in Ph.D. programme :
- Name of the Supervisor/ Co-Supervisors:
- Title of the Thesis (in block letters) :.....

Recommended and forwarded by the Supervisor
& Co-Supervisor (if any)

(Signature of Candidate with Date)

forwarded by HoD/Chairman, DRC (Signature with Seal)

Dean of the Faculty concerned (Signature with Date)

**FORMAT OF EXAMINER'S REPORT
Recommendation on Ph.D. Thesis**

Name of the Candidate :

Title of the thesis :

Subject :

Please give your specific recommendation by ticking any one of the following, with signature underneath and enclose your detailed report on separate sheet(s) with your signature, name and address

The thesis **be accepted** for the award of the Ph.D. degree []

OR

The thesis **is acceptable** for the award of the Ph.D. degree subject to the clarification []
of **certain points at the time of Viva-Voce.** (Please enclose the points)

OR

The thesis **is not acceptable in the present form** but **may be accepted** subject to []
modification/clarification /revision. (Please enclose your suggestions for
modification etc. desired) After modification, **the thesis need not be referred back**
to me.

OR

The thesis **is not acceptable in the present form** but **may be accepted** subject to []
modification/clarification /revision. (Please enclose your suggestions for
modification etc. desired) After modification, **the thesis should be referred back to**
me for final assessment.

OR

The thesis **be rejected.** (Please enclose your comments). []

Place:

Signature of the Examiner.....

Date :

Name and Address of the Examiner

.....
.....
.....

- Encl: (a) A detailed report on separate sheet(s), including general and critical appreciation of the thesis
(b) List of points for clarification.
(c) Questions to be asked at the time of viva-voce



DEGREE OF DOCTOR OF PHILOSOPHY (PH.D.)

Examiner's Report Form

(The Report may kindly be sent to the Controller of Examinations, Indira Gandhi National Tribal University, Lalpur Amarkantak 484887(M.P.), in a separate sealed cover (not along with the thesis), under Registered Post/Registered Air Mail).

Title of the thesis.....
.....
.....

Submitted by (Name of the Candidate)

NOTE:

1. The examiner may kindly state in the space given below clearly whether in his opinion*:
 - a) The thesis is acceptable for the award of the degree, subject to the candidate's performance at the viva voce examination being satisfactory;
 - OR
 - b) The candidates may be allowed to resubmit the thesis after revision;
 - OR
 - c) The thesis should be rejected.

RECOMMENDATION

I recommend that

Dated:

.....
**Signature of the Examiner with
Full Name & Address**



NOTE:

2. a) In case the examiner recommends award of the Ph.D. degree, he/she is requested to attach a detailed report on a separate sheet(s), and list the questions which he would like the candidates to answer at the viva-voce examination.

- b) In case the examiner recommends award of the Ph.D. degree, he/she may kindly state in the space below whether he/she recommends publication of the thesis. He/she may indicate the lines on which the thesis may be revised/improved for publications. (If the space is not sufficient, please attach extra sheet(s):

- c) In case the examiner recommended rejection of the thesis, he/she may kindly state the reasons for doing so in the space below. (If the space is not sufficient, please attach extra sheets):

- d) In case the examiner recommends revision and resubmission of the thesis, he/she may kindly state the reasons for doing so. These comments will be conveyed to the candidate to enable him/her to revise his/her thesis. (If the space is not sufficient, please attach extra sheets):

.....
Signature of the Examiner:

Name:

Address:



APPENDIX-XIX

INDIRA GANDHI NATIONAL TRIBAL UNIVESITY
AMARKANTAK 484887

FORMAT OF EXAMINER'S REPORT ON REVISED THESIS
Recommendation on Revised Ph.D. Thesis

Name of the Candidate :

Title of the thesis :

Please give your **specific recommendation** by ticking any one of the following, with signature underneath and enclose your **detailed report** on separate sheet(s) with your signature, name and address.

The thesis **be accepted** for the award of the Ph.D. degree

OR

The thesis **be rejected** (Please enclose your comments).

Place:

Date :

Signature of the Examiner.....

Name and Address of the Examiner

.....
.....
.....

Encl: Detailed report on separate sheet(s).



APPENDIX - XX

FORMAT FOR Ph.D. VIVA-VOCE

- 1 Name of the candidate :
- 2 Department :
- 3 Title of the thesis :
- 4 Date of viva-voce :
- 5 Name and address of the external
examiner :
- 6 Name of the supervisor/co-supervisor :
- 7 Examiners Report :

**Signature of Internal Examiner(s)
(Supervisor & Co-Supervisor)**

Signature of External Examiner

Recommendations of DRC:

(Signature of Chairman DRC/Head of the Department)

Forwarded by the Dean of the Faculty:





INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY

(A Central University Established by an Act of Parliament)

Lalpur-Amarkantak - 484887 (M.P.)

Department of

Proceedings of the Ph.D. Viva-Voce Examination

Ref: IGNTU Permission letter No.

Ph.D. Viva-Voce in the Department of was
conducted as per the details below:

Name of the Research Scholar	
Research Supervisor	
Name of the External Examiner	
Date & Time	
Venue	
Title of the thesis	

The Viva-Voce examination started atam and concluded at pm

The candidate defended the Ph.D. by presenting objectives, methodology followed and results of the investigation and successfully completed the Viva-voce examination, as per IGNTU rules and guidelines.

..... Research Scholar answered all the questions and clarification raised by the participants and external examiner.

The external examiner who was present in the open viva-voce, based on the evaluation reports of the two external examiners, recommended the award of Ph.D. degree in to of Indira Gandhi National Tribal University, Amarkantak.

Members (Viva Board) present:

PH.D. VIVA-VOCE REPORT

1. Name of the candidate:
2. Department:
3. Title of the thesis:
4. Date of viva-voce:
5. Name and address of the external examiner:
6. Name of the supervisor/co-supervisor:
7. Examiners Report:

**Signature of Internal Examiner(s)
(Supervisor) (Co-Supervisor)**

Signature of External Examiner

Recommendations of DRC:

(Signature of Chairman DRC/Head of the Department)

Forwarded by the Dean of the Faculty/Director of the Regional Campus





इन्दिरा गांधी राष्ट्रीय जनजातीय विश्वविद्यालय, अमरकंटक(म.प्र.)
INDIRA GANDHI NATIONAL TRIBAL UNIVERSITY, AMARKANTAK (M.P.)
 (संसद के अधिनियम के अधीन स्थापित केन्द्रीय विश्वविद्यालय)
 (A Central University establishment by an Act of Parliament)

**Application for UGC-NET Exemption Certificate / Certificate for Compliance of
 Ph.D. Degree with UGC Regulations 2016/2022**

I, _____ (Name) Enrolment No. _____ pursued Ph.D. in _____ (Subject) during the academic year _____, submitted my thesis on the topic _____. I have _____ nos. of publications in referred journals & _____ nos. of publications in other journals and have made _____ nos. presentations in Seminar/Conferences based on my research work. I have completed the course work within the prescribed duration.

1. DETAILS OF PEER REVIEWED JOURNAL PUBLICATIONS

Sl. No.	Name of the Journal	Title of the Research Paper	Year/Vol. No./Page No.	Enclosures

2. DETAILS OF OTHER JOURNAL PUBLICATIONS

Sl. No.	Name of the Journal	Title of the Research Paper	Year/Vol. No./Page No.	Enclosures

3. DETAILS OF PRESENTATIONS:

Sl. No.	Name of the Seminar/Conferences	Title of the Presentations made	National/ International	Name of the Sponsoring/ Funding Agency	Venue, Date, Month & Year of Seminar/Conferences Held	Enclosures

4. Date of Viva Voce examination held on:

5. Date of effect of Ph.D. Result Notification:

Enclosed: Documents in support of the above are attached.

Particulars	Yes/ No
Copy of Provisional Certificate/ Original Degree	
Proof of Publication/s	
Conference Certificate/s	
Fee receipt for Rs. (if any)	

I hereby undertake that, if any of the details furnished by me above are found to be false/incorrect, I will be solely held responsible for the same and all the resultant consequences. I also understand that the University reserves the right to take any appropriate action against me in such instance.

Signature of the Student

We hereby certify that the above particulars as declared by the student are true and correct to the best of our knowledge & belief.

SUPERVISOR

HEAD

DEAN OF THE FACULTY
(Signature with Seal)

Recommended and forwarded to Controller of Examinations (CoE)

